

# Solidification Processing of Te–Sb–Pb Alloys For Thermoelectric Applications

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## Abstract

A solidification processing approach to the refinement of lead-tellurium-antimony alloy microstructure is described. Liquid alloys with eutectic, hyper-eutectic and hypo-eutectic compositions (relative to lead) were cooled to the solid state in three distinct ways, i.e. by water quenching, air cooling and furnace cooling. The structures of the alloys resulting from the three different solidification paths were examined using electron microscopy and the micrographs were quantified. Classical solidification methods were used to interpret the structures in relation to the cooling histories.

## Keywords

Tellurium-antimony-lead, solidification, dendrite structure, secondary dendrite arm spacing, lamella structure

## Introduction

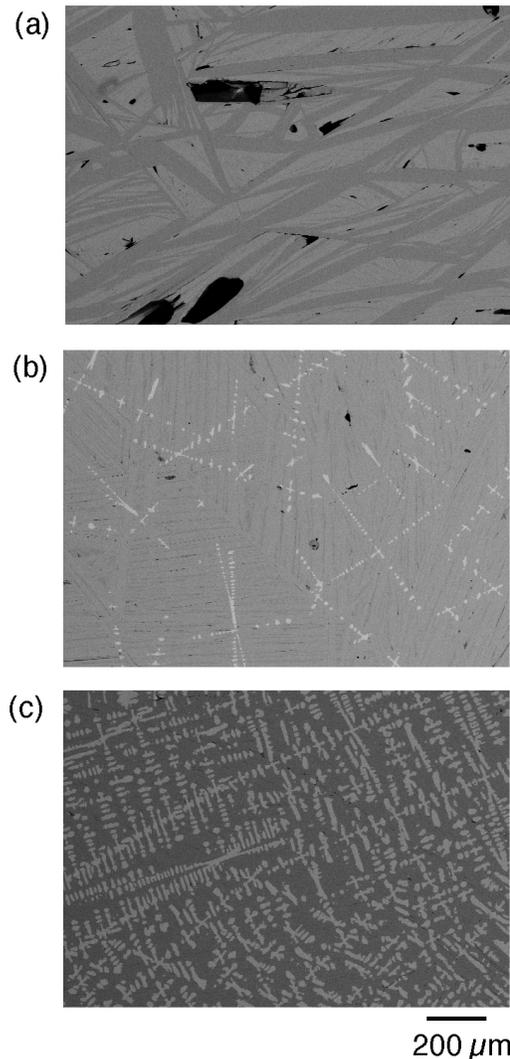
The development of efficient thermoelectric devices for both space and terrestrial applications requires the discovery of materials with a high thermoelectric figure of merit,  $zT$ , defined as  $S^2\sigma T/\kappa$ , where  $S$  is the Seebeck coefficient,  $\sigma$  the electrical conductivity and  $\kappa$  the thermal conductivity. Materials investigated and optimized over the past 50 years have been conventional, simple semiconductors. Examples include bismuth telluride alloys, lead telluride alloys and silicon germanium alloys. Here we examined a system of two immiscible thermoelectric materials: PbTe-Sb<sub>2</sub>Te<sub>3</sub>. While the phase diagram of this pseudo-binary system is in dispute, the literature agrees that a moderately deep eutectic occurs at approximately 40 mol% PbTe with a melting temperature of approximately 585°C. Such a feature suggests that under the right synthesis conditions, the material will exhibit a nano-scale lamellar structure composed of the two thermoelectric end-member compounds. Ideally, such structures can result in quantum confinement effects, increasing  $S$ , and/or in enhanced phonon scattering, decreasing  $\kappa$ .

Traditional approaches to thermoelectric fabrication are based on a powder metallurgical route. One of the key advantages of the P/M route is the homogeneity in the final microstructure; however, this method offers very little opportunity for structural refinement unless the raw materials, i.e., the powders themselves are engineered to have the desired shape and size. Liquid-solid transformations offer the opportunity to significantly alter the final microstructure by controlling the cooling history of the material. In addition, considerable advantages can be achieved by altering the composition of the alloy around the ternary eutectic composition, i.e., 40 mol% (PbTe)-60 mol% (Sb<sub>2</sub>Te<sub>3</sub>).

The specific objectives of the present study were to refine the microstructure by altering solidification rates and to investigate the effect of alloy composition.

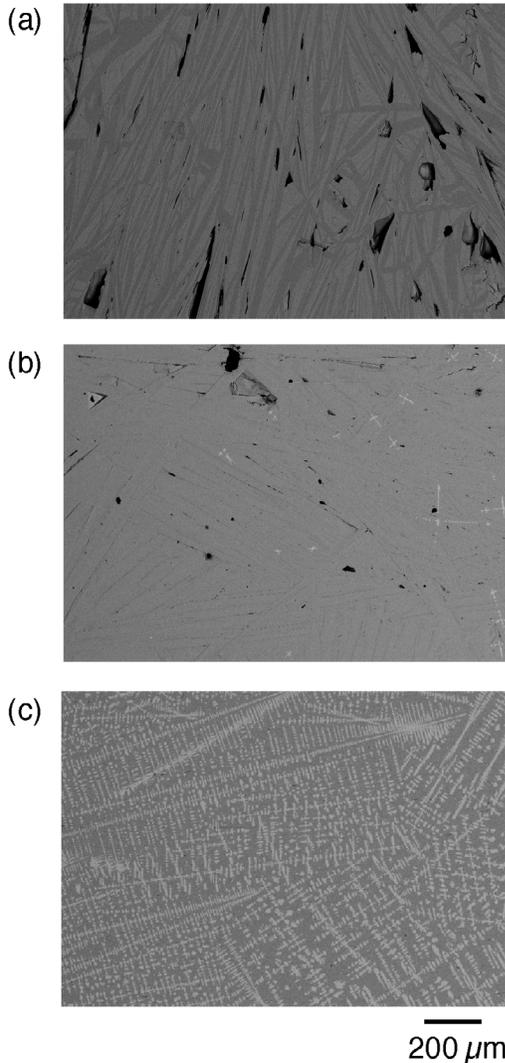
## Experimental procedure

Elemental Pb, Sb and Te granules or powders (99.999 % purity) were sealed in quartz tubes 10 mm in diameter under vacuum. The compositions of the alloys were chosen to be 21.7 mol% (PbTe)-78.3 mol% (Sb<sub>2</sub>Te<sub>3</sub>)(Te-36 at.% Sb-5 at.% Pb), 40 mol% (PbTe)-60 mol% (Sb<sub>2</sub>Te<sub>3</sub>)(Te-31.5 at.% Sb-10.5 at.% Pb) and 62.5 mol% (PbTe)-37.5 mol% (Sb<sub>2</sub>Te<sub>3</sub>)(Te-24 at.% Sb-20 at.% Pb), all of which can be located on the pseudo-binary PbTe - Sb<sub>2</sub>Te<sub>3</sub> phase diagram. For notational ease, the Sb<sub>2</sub>Te<sub>3</sub> content is hereafter omitted. The alloys were then melted by induction heating and were held at temperatures above 924°C for approximately 5 minutes and then were solidified at three different cooling rates, i. e. by water quenching and air cooling.



**Figure 1:** Microstructure of Te-Sb-Pb alloys solidified by air cooling. The compositions are 21.7 mol% (PbTe) (a), 40 mol% (PbTe) (b) and 62.5 mol% (PbTe) (c), respectively.

The alloys thus prepared were cut, mounted in epoxy pucks and polished finally with 0.3  $\mu\text{m}$   $\text{Al}_2\text{O}_3$  paste. The microstructures were observed using a field emission-scanning electron microscope (Carl Zeiss LEO 1550 VP) equipped with a Robinson backscattered electron (BSE) detector for its high compositional contrast capabilities. The microstructures were analyzed using a personal computer with an image processing program (Macscope, Mitani Corp.) for quantitative description. The compositions of constituent phases in each alloy were measured using an energy dispersion X-ray spectrometry (EDS, INCAEnergy energy dispersion X-ray microanalysis system, Oxford Instruments).



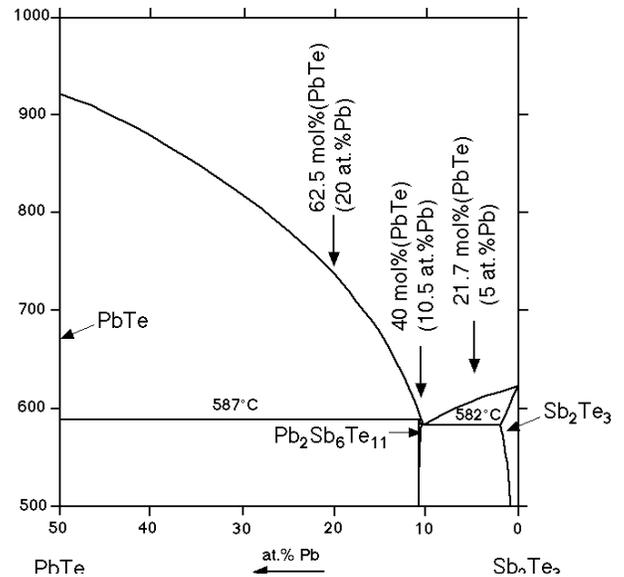
**Figure 2:** Microstructure of Te-Sb-Pb alloys solidified by water quenching. The compositions are 21.7 mol% (PbTe) (a), 40 mol% (PbTe) (b) and 62.5 mol% (PbTe) (c), respectively.

#### Microstructural morphology and phase assemblage

Figures 1 and 2 show the backscattered electron image of microstructures in the alloys solidified by air cooling and water quenching, respectively. Lamellar structures are observed in the alloy with the composition of 21.7 mol% (PbTe) regardless of cooling rates. On the other hand, the 62.5 mol% (PbTe) alloy has a dendrite structure, and the 40

mol% (PbTe) alloy, which has a composition near the eutectic composition, is composed of a gray matrix phase, light dendritic features and dark lamellar phases.

Composition analyses using EDS in the present work showed that the matrix phase in all alloy compositions investigated are near  $\text{Pb}_2\text{Sb}_6\text{Te}_{11}$ . The dark lamellar phase in 21.7 mol% (PbTe) is  $\text{Sb}_2\text{Te}_3$ . The dendrites in 62.5 mol% (PbTe) and 40 mol% (PbTe) alloys are PbTe. The existence of an intermediate phase of composition  $\text{Pb}_2\text{Sb}_6\text{Te}_{11}$  has been proposed by Abrikosov. On the other hand, recently, Shelimova et al. [2] suggested, on the basis of X-ray diffraction experiments, that  $\text{PbSb}_4\text{Te}_7$  and  $\text{PbSb}_2\text{Te}_4$  phases can be present rather than  $\text{Pb}_2\text{Sb}_6\text{Te}_{11}$ . The current results are consistent with the phase diagram presented by the former authors, Fig. 3. We attribute the lamellar microstructure of the eutectic and Sb rich alloys to the layered crystalline structures of the constituent phases,  $\text{Pb}_2\text{Sb}_6\text{Te}_{11}$  and  $\text{Sb}_2\text{Te}_3$  [2, 3]. In contrast, PbTe has a cubic structure (rocksalt type) [4].



**Figure 3:** Equilibrium phase diagram of the pseudo-binary PbTe- $\text{Sb}_2\text{Te}_3$  system [1].

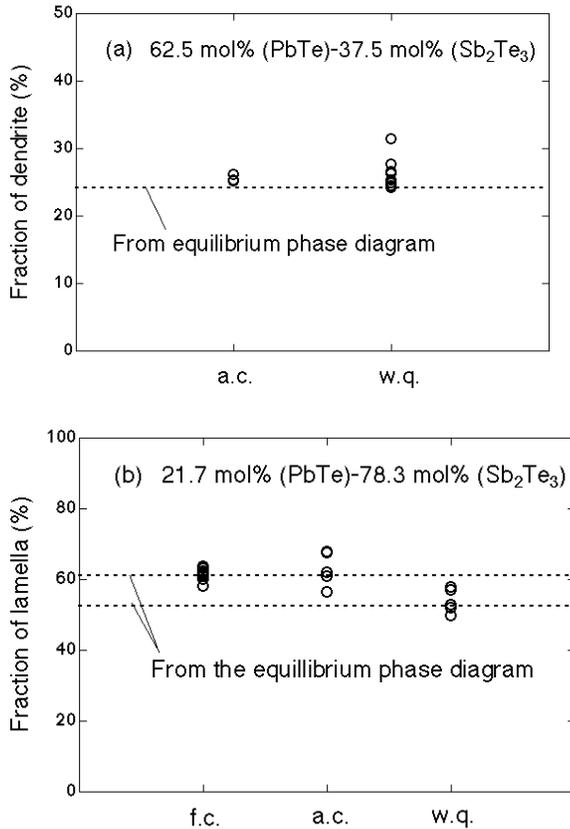
Figure 4 (a) shows the fraction of dendrite in the 62.5 mol% (PbTe) alloy, which was determined by image analyses. The fraction of dendrite is found to be around 26 % regardless of cooling rate and to agree with the fraction of PbTe phase expected from the equilibrium phase diagram proposed by Abrikosov [1].

For the 21.7 mol% (PbTe) alloy, solidification was also performed by furnace cooling. The fraction of lamella in this alloy slightly decreases with increasing cooling rate as shown in Fig. 4 (b). In the figure, the fraction of  $\text{Sb}_2\text{Te}_3$  phase expected from the equilibrium phase diagram is indicated by broken lines. Since lead is slightly soluble in the  $\text{Sb}_2\text{Te}_3$  phase and the solubility varies with temperature, the fraction of the  $\text{Sb}_2\text{Te}_3$  phase in solidified alloys can be expected to vary with cooling rate; the upper bound corresponds to the maximum solubility of lead and the lower limit to zero solubility, respectively. The experimental fraction is found to lie within

this range. Therefore, the fraction of lamella experimentally observed is also consistent with the equilibrium phase diagram proposed by Abrikosov.

### Secondary dendrite arm spacing

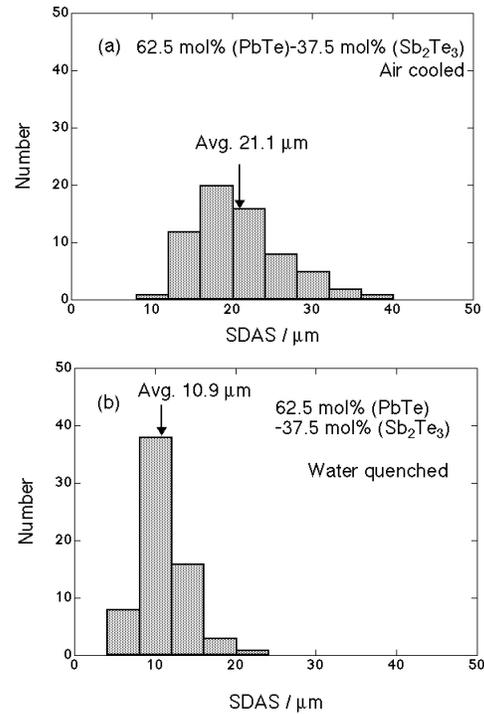
For the 62.5 and 40 mol% (PbTe) alloys, the secondary dendrite arm spacing (SDAS) was determined by image analysis. There is no significant difference between the SDAS near the perimeter and at the center of the ingot samples (data not shown). This is attributed to the fact that the thermal conductivity of the quartz container (~1.5 mm thick) [5] is roughly comparable to that of the Te-Sb-Pb alloys [6, 7]. Thus, the resulting cooling rate of the alloy adjacent to the container wall (usually the highest in permanent mold castings) is reduced. Therefore, the temperature gradient within the alloys is expected to be small. This can lead to similar cooling rates near the perimeter and at the center.



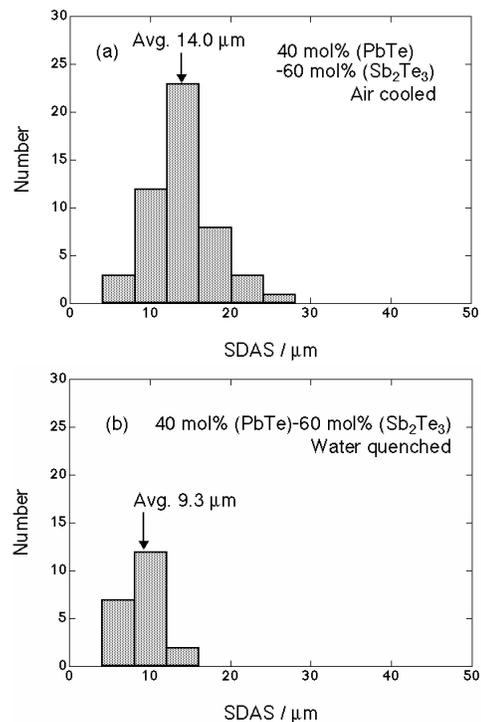
**Figure 4:** Fraction of dendrite in the 62.5 mol% (PbTe)- alloy (a) and lamella in the 21.7 mol% (PbTe) alloy (b). The broken lines show the fraction expected from the equilibrium phase diagram [1]. The upper line is calculated from the solubility limit of Pb in Sb<sub>2</sub>Te<sub>3</sub> and lower line to zero solubility in Sb<sub>2</sub>Te<sub>3</sub>.

It is clearly apparent from Figs 1 and 2 that the water quenched samples have finer microstructure than the air cooled samples. Figures 5 and 6 show the histograms of the SDAS obtained by the image analyses for the 40 and 62.5 mol% (PbTe) alloys, respectively. The SDAS in the water quenched samples are significantly smaller than that in the air cooled ones; the average SADS in the water quenched 40 mol% (PbTe) sample is 10.9 μm whereas that in the air

cooled sample is 21.1 μm, Fig. 5, with a similar trend evident for the 62.5 mol% (PbTe) alloy, Fig. 6. This result reflects the higher cooling rate of water quenching than air cooling.



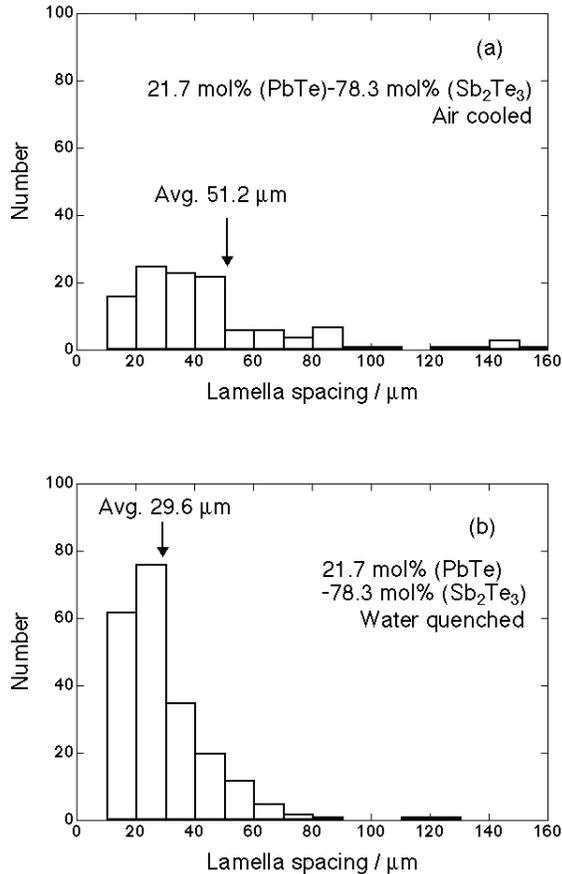
**Figure 5:** Distribution of secondary dendrite arm spacings observed in 62.5 mol% (PbTe) alloys solidified by air cooling (a) and water quenching (b).



**Figure 6:** Distribution of secondary dendrite arm spacings observed in 40 mol% (PbTe) alloys solidified by air cooling (a) and water quenching (b).

### Inter-lamellar spacing

For the 21.7 mol% (PbTe) alloy, inter-lamellar spacing was determined by image analyses on the microstructures. Figure 7 shows the distribution of lamella spacing for air cooling and water quenching. The average separation between lamellae is smaller for the water quenched samples in comparison to the air cooled ones. Thus, it is found that the inter-lamellar spacing can also be controlled by changing solidification rates.



**Figure 7:** Distribution of lamella thickness observed in 21.7 mol% (PbTe) alloys solidified by air cooling (a) and water quenching (b).

### Conclusions

The microstructure transitions from lamellar to dendritic as the fraction of PbTe increases from 21.7 mol% to 62.5 %. It is to be noted that the Pb<sub>2</sub>Sb<sub>6</sub>Te<sub>11</sub> phase appears to be present in all microstructures.

Water quenched samples have finer microstructures compared to air cooled ones. This includes the decrease in the interlamellar spacing for the Sb<sub>2</sub>Te<sub>3</sub>-rich alloys (21.7 mol% (PbTe)-78.3 mol% (Sb<sub>2</sub>Te<sub>3</sub>)) and decrease in SDAS for the PbTe-rich alloys (40 mol% (PbTe)-60 mol% (Sb<sub>2</sub>Te<sub>3</sub>) and 62.5 mol% (PbTe)-37.5 mol% (Sb<sub>2</sub>Te<sub>3</sub>)) when the materials are water quenched. Thus, the characteristic microstructural lengthscales were found to be tunable by changing the

cooling rate during solidification. More rapid cooling can be expected to produce nanoscale structural features, which is desirable for enhancing the thermoelectric figure of merit.

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